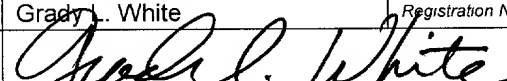


Please type a plus sign (+) inside this box —→ ☐

PTO/SB/05 (03-01)
Approved for use through 10/31/2002 OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 026624.101-US01	
		First Inventor David Rothkopf	
		Title Method And Apparatus For Providing Customized Information	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		Express Mail Label No	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231	
1 <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)		7 <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2 <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
3 <input checked="" type="checkbox"/> Specification [Total Pages 61] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure		a <input type="checkbox"/> Computer Readable Form (CRF) b Specification Sequence Listing on i <input type="checkbox"/> CD-ROM or CD-R (2 copies), or ii <input type="checkbox"/> paper c <input type="checkbox"/> Statements verifying identity of above copies	
4 <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 12]		ACCOMPANYING APPLICATIONS PARTS	
5 Oath or Declaration [Total Pages] a <input type="checkbox"/> Newly executed (original or copy) b <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)		9 <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10 <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney 11 <input type="checkbox"/> English Translation Document (if applicable) 12 <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13 <input type="checkbox"/> Preliminary Amendment 14 <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15 <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16 <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent 17 <input type="checkbox"/> Other	
6 <input checked="" type="checkbox"/> Application Data Sheet See 37 CFR 1.76			
18 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76 <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. _____ Prior application information: Examiner _____ Group / Art Unit. _____ For CONTINUATION or DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
19. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		26853 or <input type="checkbox"/> Correspondence address below	
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	Grady L. White	Registration No (Attorney/Agent)	40,874
Signature		Date	May 18, 2001

1033 U.S. PTO
09/859427

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FEE TRANSMITTAL for FY 2001				Complete if Known																																																																																																																																																																																					
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 50-0740 Deposit Account Name: Covington & Burling <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		3. 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Signature				Telephone (202) 662-6000																																																																																																																																																																																					
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Docket No.: 026624.101-US01
(PATENT)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
David Rothkopf

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: May 18, 2001

Examiner: Not Yet Assigned

For: METHOD AND APPARATUS FOR
PROVIDING CUSTOMIZED INFORMATION

TRANSMITTAL LETTER

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Patent Application Initial Information Data Sheet;
2. Transmittal-New Utility Patent Application;
3. Fee Transmittal;
4. Utility Application comprising: 38 pages of description prior to the claims; 22 pages of claims (169 claims); one page abstract; and 12 sheets of drawings (Figs. 1, 2, 3, 3(a), and 4-11); and
5. Two return receipt postcards.

The Commissioner is hereby authorized to charge the \$2,016 application filing fee, any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit

Account No. 50-0740, under Order No. 026624.101-US01. A duplicate copy of this paper is enclosed.

Dated: May 18, 2001

Respectfully submitted,

By

Grady L. White

Registration No.: 40,874

COVINGTON & BURLING

1201 Pennsylvania Avenue, N.W.

Washington, DC 20004-2401

(202) 662-6000

Attorneys for Applicant